

**BEST AVAILABLE COPY**

04/14/2006 14:32

612.455.3801

HSML, P.C. (acp)

RECEIVED  
CENTRAL FAX CENTER

PAGE 1/5

APR 14 2006



**HAMRE, SCHUMANN,  
MUELLER & LARSON, P.C.**  
AN INTERNATIONAL INTELLECTUAL PROPERTY LAW FIRM

**FAX TRANSMISSION**      April 14, 2006

**TO:** Mail Stop: AMENDMENT  
Examiner: CRIADO  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**FROM:** Douglas P. Mueller  
**OUR REF:** 10873.0772US01  
**TELEPHONE:** (612) 455.3800

Total pages, including cover letter:      5

PTO FAX NUMBER: 571.273.8300

If all pages are NOT received, please call us at 612.455.3800 or fax us at 612.455.3801.

**Title of Document:** **Supplemental Information Disclosure Statement**  
**Form PTO 1449 and Form PTO 892**

**Applicant:** WADA et al.  
**Serial No.:** 09/911,143  
**App. Filed:** July 23, 2001  
**Group Art No.:** 2656  
**Conf. No.:** 4482

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By: A handwritten signature of Douglas P. Mueller in black ink, consisting of a stylized "D" and "M" followed by a surname.  
Name: Douglas P. Mueller  
Reg. No. 30,300

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Antonette C. Peters

A handwritten signature of Antonette C. Peters in black ink, enclosed in a stylized oval.  
Signature

April 14 2006  
Date

225 SOUTH SIXTH STREET · SUITE 2650 · MINNEAPOLIS · MN 55402  
TEL 612.455.3800 · FAX 612.455.3801  
WWW.HSML.COM · MAIL@HSML.COM

APR 14 2006

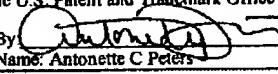
S/N 09/911,143

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	WADA et al.	Examiner:	CRIADO
Serial No.:	09/911,143	Group Art Unit:	2656
Filed:	July 7, 2001	Docket No.:	10873.0772US01
Title:	OPTICAL ELEMENT, OPTICAL HEAD, OPTICAL RECORDING/REPRODUCING APPARATUS AND OPTICAL RECORDING/REPRODUCING METHOD		

CERTIFICATE UNDER 37 CFR 1.6(d)

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on April 14, 2006.

By   
Name: Antonette C. PetersSUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT(37 C.F.R. § 1.97(b))

Mail Stop Amendment

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Dear Commissioner:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. The reference cited herein was recently cited in a Notice of Allowance issued in a co-pending case, Serial No. 11/204,270, mailed April 6, 2006.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. No fee is due as this statement is submitted as certified below under 37 C.F.R. § 1.97(e)(1) or (2) by the undersigned.

**BEST AVAILABLE COPY**

04/14/2006 14:32

612.455.3801

HSML, P.C. (acp)

PAGE 3/5

**Certification Under 37 C.F.R. §1.97(e)(1)**

In accordance with 37 C.F.R. §1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was cited in a communication from the US patent office in a co-pending application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

In accordance with 37 C.F.R. §1.98(d), a copy of each document or other information listed on the enclosed Form 1449 is not provided because it was previously cited by the U.S. Patent and Trademark Office in parent application, U.S. Serial No. 11/204,270, filed on August 15, 2005. A copy of the Form PTO 892 is enclosed for the Examiner's reference.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3478.

Respectfully submitted,



HAMRE, SCHUMANN, MUELLER &  
LARSON, P.C.  
P.O. Box 2902-0902  
Minneapolis, MN 55402-0902  
(612) 455-3800

By:   
Douglas P. Mueller  
Reg. No. 30,300  
DPM/acp

Dated: April 14, 2006

**BEST AVAILABLE COPY**

•04/14/2006 14:32

612.455.3801

HSML, P.C. (acp)

RECEIVED  
CENTRAL FAX CENTER

PAGE 4/5

APR 14 2013

Date Mailed: April 14, 2006

Sheet 1 of 1

<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> <b>(Use several sheets if necessary)</b>	Docket Number: 10873.0772US01	Application Number: 09/911,143
	Applicant: WADA et al.	
	Filing Date: July 23, 2001	Group Art Unit 2656

53148

PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

**\*Substitute Disclosure Statement Form (PTO-1449)**

**Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE**

**BEST AVAILABLE COPY**

34/14/2006 14:32

612.455.3801

HSML, P.C. (acp)

PAGE 5/5

<b>Notice of References Cited</b>		Application/Control No. 11/204,270	Applicant(s)/Patent Under Reexamination WADA ET AL.	
		Examiner Loha Ben	Art Unit 2873	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-5,122,888	06-1992	Iizuka et al.	349/200
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages
	U	
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office  
PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 0406